



# Course Overview



# Logistics

# Introductions

- Instructor: Anupam Datta
  - Office hours: SV Bldg 23, #208 + Google Hangout (id: danupam)
  - Office hours: Mon 1:30-2:30 PM PT
- TA: Kyle Soska
  - Office hours: TBD
- Student introductions



**Extra office hours on demand**

# Logistics

- Lectures: Monday & Wednesday, 11:30-1:20pm Pacific
- Recitation: Friday 8:30-9:20am Pacific (attend!)
- Web page: <http://www.ece.cmu.edu/~ece733/>
- Course blackboard (for grades)
- Piazza (for all other communication)
  - Please enroll; you should have received invitation
- Course work and grading:
  - Homework (80%) – 4 x 20% [written + 1 programming problem per hw]
    - Best 4 of 5 homeworks
  - Mini-project (10%) [programming problem]
  - Class participation (10%)

# Logistics (3)

Collaboration policy:

- You are allowed to discuss homework problems and approaches for their solution with other students in the class, but are required to figure out and write out detailed solutions independently and to acknowledge any collaboration or other source

[CMU Computing Policy](#)

[CMU Academic Integrity Policy](#)

# Logistics (4)

## Example Violations:

- Submission of work completed or edited in whole or in part by another person.
- Supplying or communicating unauthorized information or materials, including graded work and answer keys from previous course offerings, in any way to another student.
- Use of unauthorized information or materials, including graded work and answer keys from previous course offerings.
- ...not exhaustive list

If in doubt, ask me!

# Prerequisites

- An undergraduate course equivalent to 15-251 is recommended or permission of instructor
- An introductory course in computer security such as 18-730 is required or permission of instructor
- If in doubt, please talk to me after class
- Quick class poll



# Introduction

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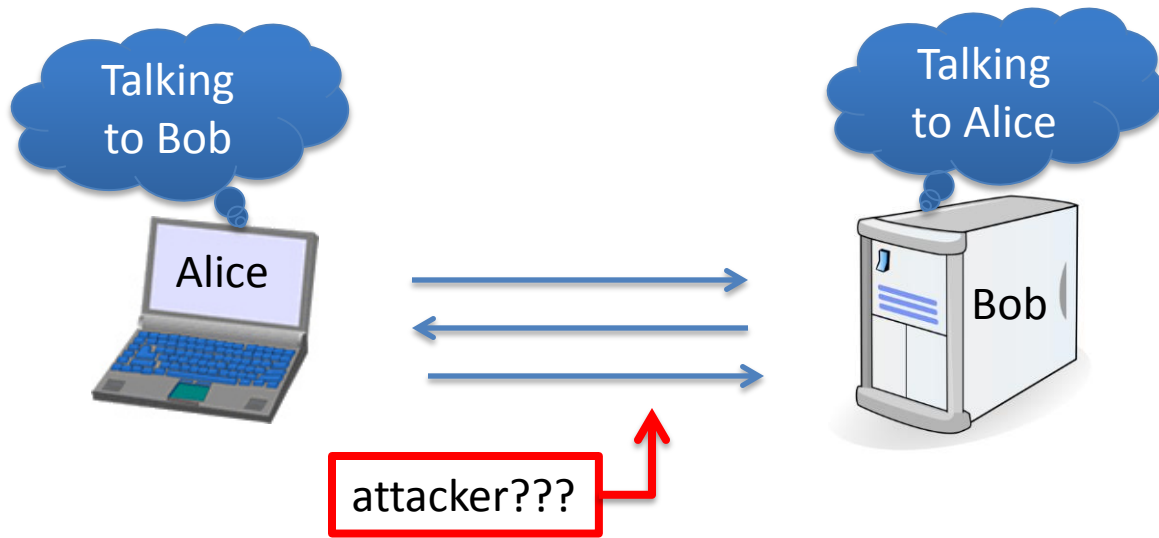
What is cryptography?

(Slides: Dan Boneh)

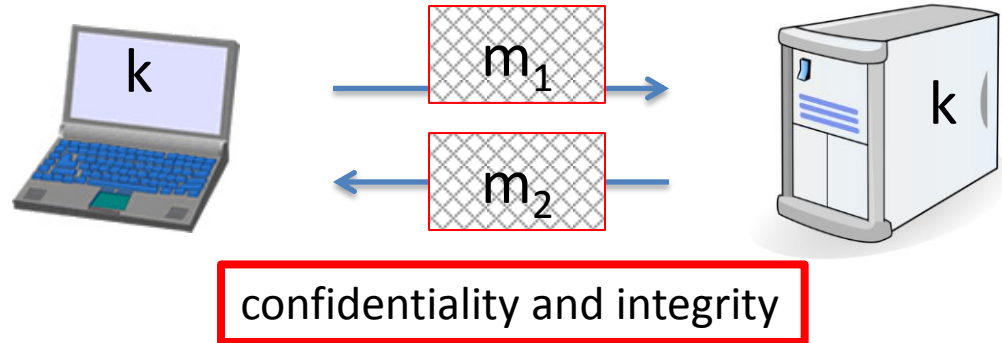


# Crypto core

Secret key establishment:

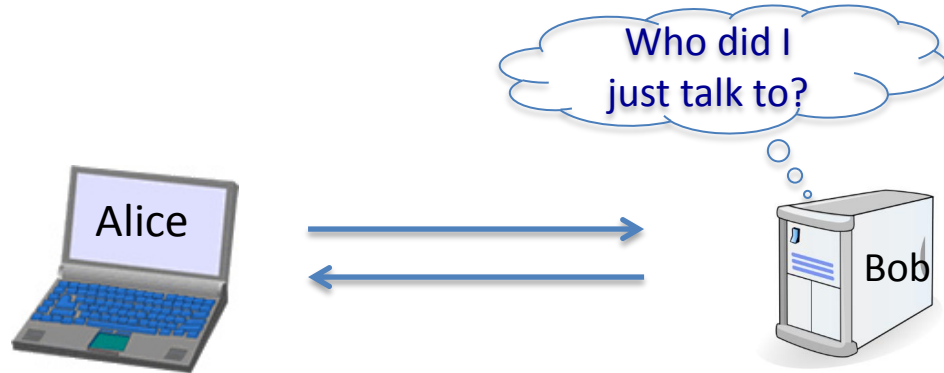


Secure communication:



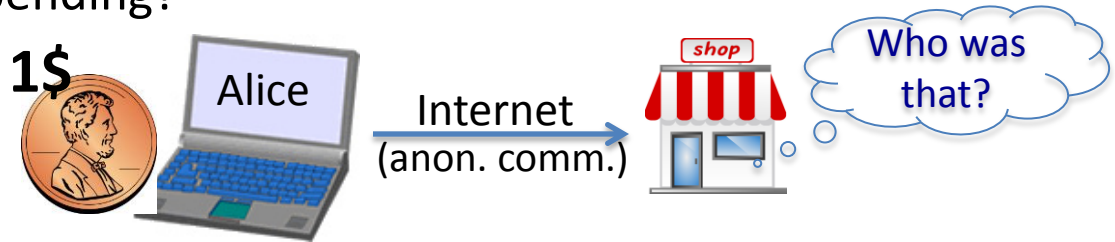
# But crypto can do much more

- Digital signatures
- Anonymous communication



# But crypto can do much more

- Digital signatures
- Anonymous communication
- Anonymous **digital** cash
  - Can I spend a “digital coin” without anyone knowing who I am?
  - How to prevent double spending?




# Protocols

- Elections
- Private auctions



# A rigorous science

The three steps in cryptography:

- 
- Precisely specify threat model
  - Propose a construction
  - Prove that breaking construction under threat model will solve an underlying hard problem

End of Segment



# Course Objectives and Content

# Course Structure

Three modules

- Symmetric Key Cryptography
  - Encryption, message integrity, hash functions
- Public Key Cryptography
  - Encryption, digital signatures
- Protocols
  - Authentication
  - Accountability
  - Anti-surveillance



# Course Resources

- Lecture and recitation
- Textbook (recommended for first two modules)
  - Jonathan Katz, Yehuda Lindell, Introduction to Modern Cryptography

# Learning Outcomes

- Understand theory and practice of cryptography

## The 3A's:

- Algorithms: Understand constructions of cryptographic primitives and protocols
- Analysis: Understand security definitions and proofs of primitives and protocols
- Applications: Understand how to use cryptography correctly and attacks that exploit incorrect use

End of Segment



# Introduction

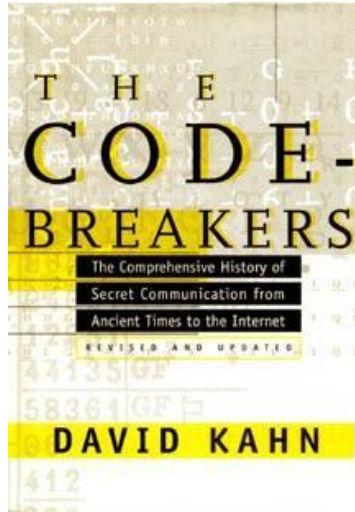
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## History

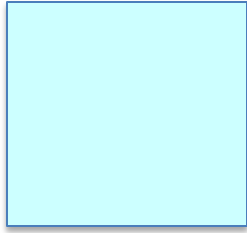
(Slides: Dan Boneh)

# History

David Kahn, “The code breakers” (1996)



# Symmetric Ciphers

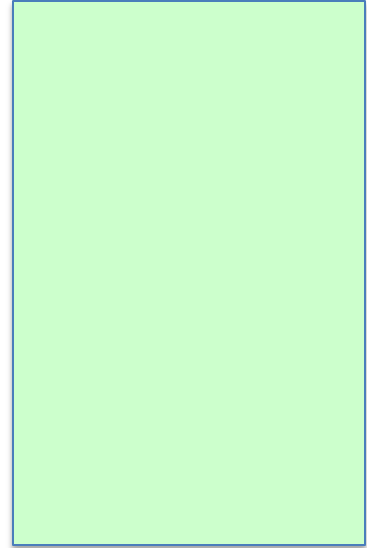


# Few Historic Examples

(all badly broken)

## 1. Substitution cipher

$k :=$



# Caesar Cipher (no key)



What is the size of key space in the substitution cipher assuming 26 letters?

$$|\mathcal{K}| = 26$$

$$|\mathcal{K}| = 26! \quad (26 \text{ factorial})$$

$$|\mathcal{K}| = 2^{26}$$

$$|\mathcal{K}| = 26^2$$

# How to break a substitution cipher?

What is the most common letter in English text?

“X”

“L”

“E”

“H”

# How to break a substitution cipher?

- (1) Use frequency of English letters
- (2) Use frequency of pairs of letters (digrams)

# An Example

UKBYBIPOUZBCUFEEBORUKBYBHOBBERFESPVKBWFOFERVNBCVBZPRUBOFERVNBCVBPCYYFVUFO  
FEIKNWFRFIKJNUPWRFIPOUNVNIPUBRNCUKBEFWWFDNCHXCBOHOPYXPUBNCUBOYNRVNIWN  
CPOJIOFHOPZRVFZIXUBORJRUBZRBCHNCBBONCHRJZSFWNVRJRUBZRPCYZPUKBZPUNVPWPCYVF  
ZIXUPUNFCPWVRVNBCVBRPYYNUNFCPWJUKBYBIPOUZBCUIPOUNVNIPUBRNCHOPYXPUBNCUB  
OYNRVNIWNCPOJIOFHOPZRNCRVNBCUNENVVFZIXUNCHPCYVFZIXUPUNFCPWZPUKBZPUNVR

B	36	→ E
N	34	
U	33	→ T
P	32	→ A
C	26	

NC	11	→ IN
PU	10	→ AT
UB	10	
UN	9	

digrams

UKB	6	→ THE
RVN	6	
FZI	4	

trigrams

## 2. Vigenere cipher (16'th century, Rome)

k = **C R Y P T O C R Y P T O C R Y P T** (+ mod 26)  
m = **W H A T A N I C E D A Y T O D A Y**

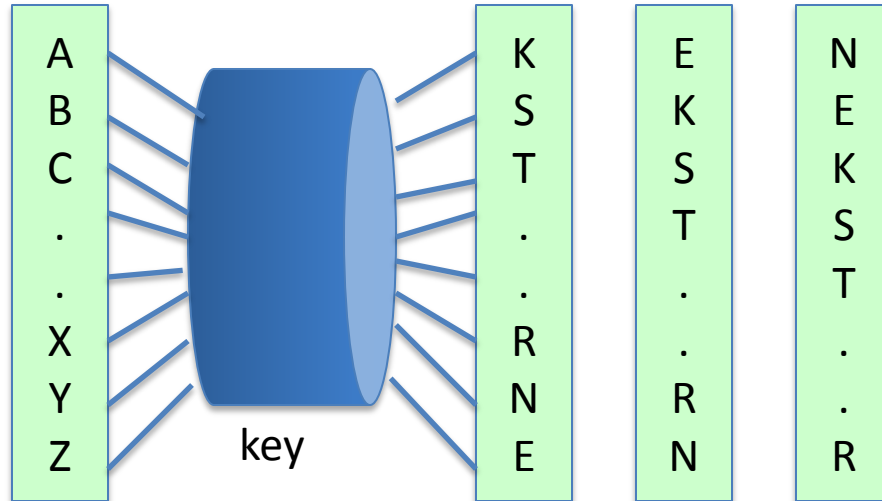
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c = **Z Z Z J U C L U D T U N W G C Q S**

suppose most common = "H"  $\Rightarrow$  first letter of key = "H" - "E" = "C"

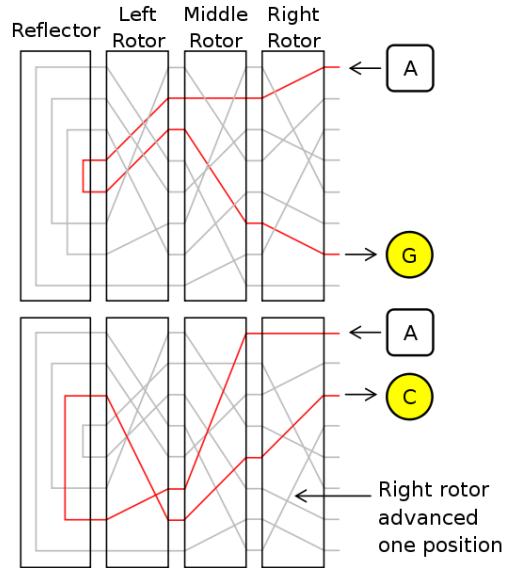
# 3. Rotor Machines (1870-1943)

Early example: the Hebern machine (single rotor)



# Rotor Machines (cont.)

Most famous: the Enigma (3-5 rotors)



[https://en.wikipedia.org/wiki/Enigma\\_machine](https://en.wikipedia.org/wiki/Enigma_machine)

## 4. Data Encryption Standard (1974)

DES: # keys =  $2^{56}$  , block size = 64 bits

Today: AES (2001), Salsa20 (2008) (and many others)



End of Segment

See also: [http://en.wikibooks.org/High\\_School\\_Mathematics\\_Extensions/Discrete\\_Probability](http://en.wikibooks.org/High_School_Mathematics_Extensions/Discrete_Probability)



## Introduction

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# Discrete Probability (crash course, cont.) (Slides: Dan Boneh)

U: finite set (e.g.  $U = \{0,1\}^n$  )

Def: **Probability distribution** P over U is a function  $P: U \rightarrow [0,1]$

such that 
$$\sum_{x \in U} P(x) = 1$$

Examples:

1. Uniform distribution: for all  $x \in U$ :  $P(x) = 1/|U|$
2. Point distribution at  $x_0$ :  $P(x_0) = 1$ ,  $\forall x \neq x_0$ :  $P(x) = 0$

Distribution vector:  $( P(000), P(001), P(010), \dots, P(111) )$

# Events

- For a set  $A \subseteq U$ :  $\Pr[A] = \sum_{x \in A} P(x) \in [0,1]$
- The set  $A$  is called an **event**

note:  $\Pr[U]=1$

**Example:**  $U = \{0,1\}^8$

- $A = \{ \text{all } x \text{ in } U \text{ such that } \text{lsb}_2(x)=11 \} \subseteq U$

for the uniform distribution on  $\{0,1\}^8$ :

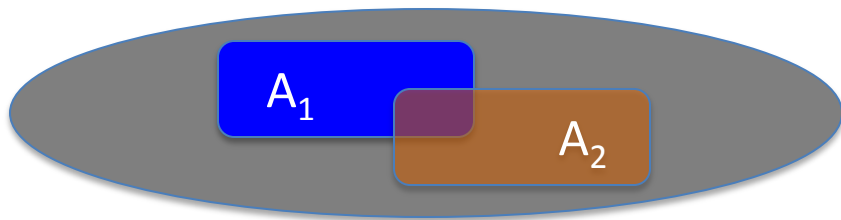
$\Pr[A] = ?$

$1/4$

# The union bound

- For events  $A_1$  and  $A_2$

$$\Pr[A_1 \cup A_2] \leq \Pr[A_1] + \Pr[A_2]$$



**Example:**

$$A_1 = \{ \text{all } x \text{ in } \{0,1\}^n \text{ s.t. } \text{lsb}_2(x)=11 \} \quad ; \quad A_2 = \{ \text{all } x \text{ in } \{0,1\}^n \text{ s.t. } \text{msb}_2(x)=11 \}$$

$$\Pr[\text{lsb}_2(x)=11 \text{ or } \text{msb}_2(x)=11] = \Pr[A_1 \cup A_2] \leq \frac{1}{4} + \frac{1}{4} = \frac{1}{2}$$

# Random Variables

Def: a random variable  $X$  is a function  $X:U \rightarrow V$

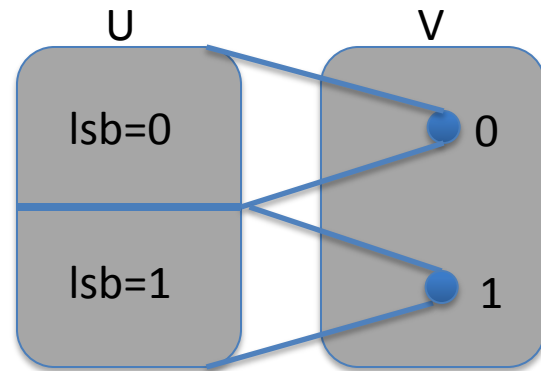
Example:  $X: \{0,1\}^n \rightarrow \{0,1\}$  ;  $X(y) = \text{lsb}(y) \in \{0,1\}$

For the uniform distribution on  $U$ :

$$\Pr[X=0] = 1/2 \quad , \quad \Pr[X=1] = 1/2$$

More generally:

rand. var.  $X$  induces a distribution on  $V$ :  $\Pr[X=v] := \Pr[X^{-1}(v)]$



# The uniform random variable

Let  $U$  be some set, e.g.  $U = \{0,1\}^n$

We write  $r \xleftarrow{R} U$  to denote a **uniform random variable** over  $U$

$$\text{for all } a \in U: \Pr[r = a] = 1/|U|$$

( formally,  $r$  is the identity function:  $r(x)=x$  for all  $x \in U$  )

Let  $r$  be a uniform random variable on  $\{0,1\}^2$

Define the random variable  $X = r_1 + r_2$

Then  $\Pr[X=2] =$   
 $\frac{1}{4}$

Hint:  $\Pr[X=2] = \Pr[r=11]$



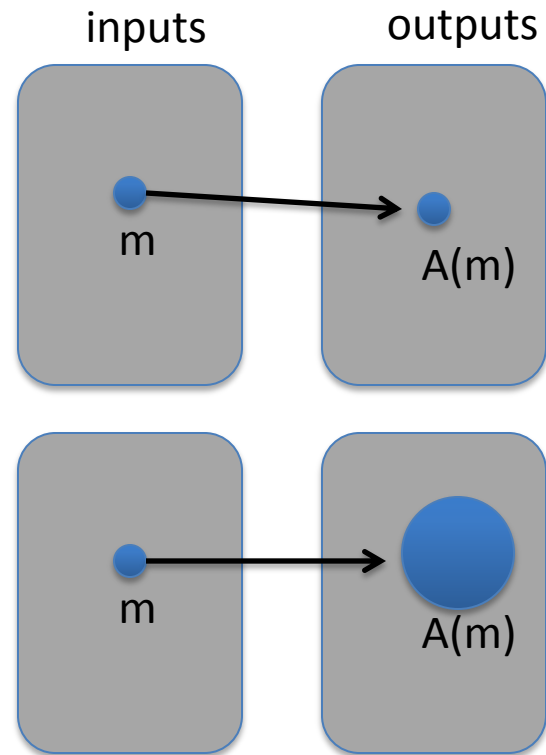
# Randomized algorithms

- Deterministic algorithm:  $y \leftarrow A(m)$
- Randomized algorithm  
 $y \leftarrow A(m; r)$  where  $r \xleftarrow{R} \{0,1\}^n$

output is a random variable

$$y \xleftarrow{R} A(m)$$

Example:  $A(m; k) = E(k, m)$  ,  $y \xleftarrow{R} A(m)$



End of Segment

See also: [http://en.wikibooks.org/High\\_School\\_Mathematics\\_Extensions/Discrete\\_Probability](http://en.wikibooks.org/High_School_Mathematics_Extensions/Discrete_Probability)



# Introduction

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## Discrete Probability (crash course, cont.) (Slides: Dan Boneh)

# Recap

$U$ : finite set (e.g.  $U = \{0,1\}^n$  )

**Prob. distr.**  $P$  over  $U$  is a function  $P: U \rightarrow [0,1]$  s.t.  $\sum_{x \in U} P(x) = 1$

$A \subseteq U$  is called an **event** and  $\Pr[A] = \sum_{x \in A} P(x) \in [0,1]$

A **random variable** is a function  $X: U \rightarrow V$  .

$X$  takes values in  $V$  and defines a distribution on  $V$

# Independence

**Def:** events A and B are **independent** if  $\Pr[ A \text{ and } B ] = \Pr[A] \cdot \Pr[B]$

random variables X,Y taking values in V are **independent** if

$$\forall a,b \in V: \Pr[ X=a \text{ and } Y=b ] = \Pr[X=a] \cdot \Pr[Y=b]$$

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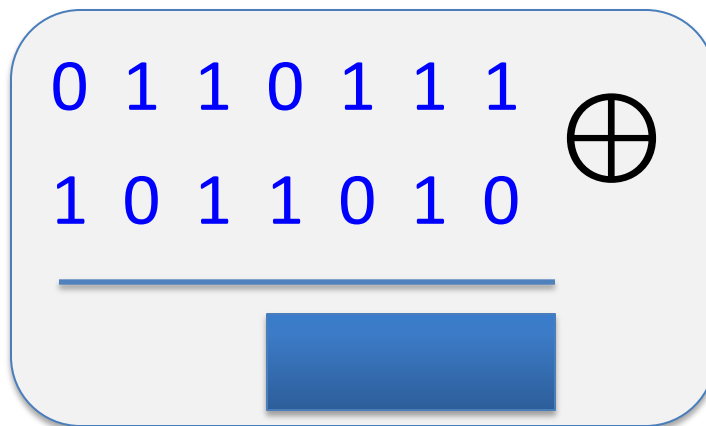
**Example:**  $U = \{0,1\}^2 = \{00, 01, 10, 11\}$  and  $r \xleftarrow{R} U$

Define r.v. X and Y as:  $X = \text{lsb}(r)$  ,  $Y = \text{msb}(r)$

$$\Pr[ X=0 \text{ and } Y=0 ] = \Pr[ r=00 ] = \frac{1}{4} = \Pr[X=0] \cdot \Pr[Y=0]$$

# Review: XOR

XOR of two strings in  $\{0,1\}^n$  is their bit-wise addition mod 2



# An important property of XOR

**Thm**:  $Y$  a rand. var. over  $\{0,1\}^n$ ,  $X$  an indep. uniform var. on  $\{0,1\}^n$

Then  $Z := Y \oplus X$  is uniform var. on  $\{0,1\}^n$

**Proof**: (for  $n=1$ )

$$\Pr[ Z=0 ] =$$

# The birthday paradox

Let  $r_1, \dots, r_n \in U$  be indep. identically distributed random vars.

Thm: when  $n = 1.2 \times |U|^{1/2}$  then  $\Pr[\exists i \neq j: r_i = r_j] \geq \frac{1}{2}$

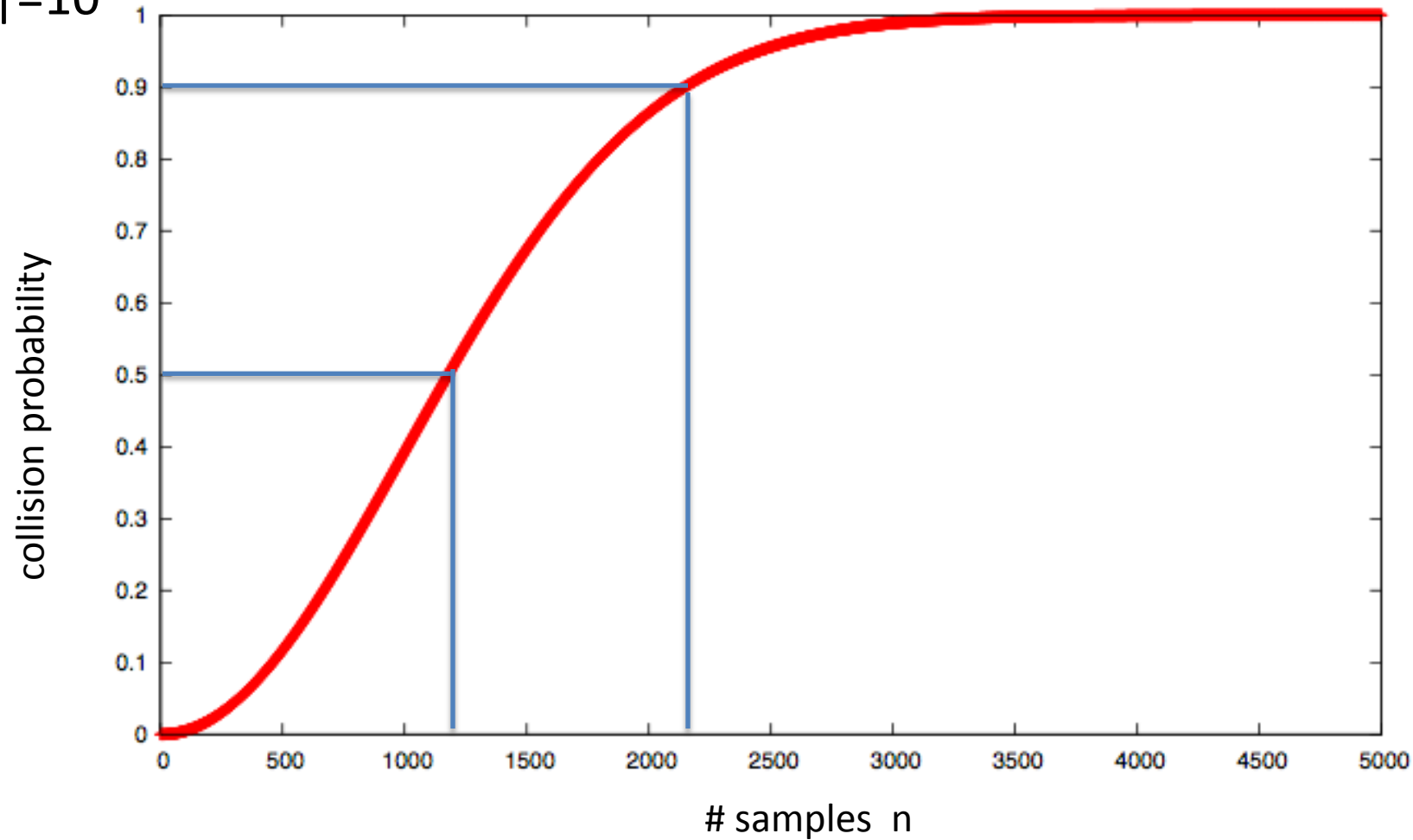
notation:  $|U|$  is the size of  $U$

Example: Let  $U = \{0,1\}^{128}$

After sampling about  $2^{64}$  random messages from  $U$ ,  
some two sampled messages will likely be the same



$$|U| = 10^6$$



End of Segment